

03-01-02

PATENT APPLICATION

ATTORNEY DOCKET NO. 10010803-1

IN THE U.S. PATENT AND TRADEMARK OFFICE  
Patent Application Transmittal Letter

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is a(n): ☒ Utility ☐ Design

☒ original patent application,

☐ continuation-in-part application

PTO  
S.N. 89861  
02/27/02

INVENTOR(S): Carol J. Wilson, et al.

TITLE: Continuously Tunable Photonic Crystal Drop Filter

Enclosed are:

- ☒ The Declaration and Power of Attorney. ☒ signed ☐ unsigned or partially signed  
☒ 3 sheets of drawings (one set) ☐ Associate Power of Attorney  
☐ Form PTO-1449 ☒ Information Disclosure Statement and Form PTO-1449  
☐ Priority document(s) ☐ (Other) (fee \$ )

CLAIMS AS FILED BY OTHER THAN A SMALL ENTITY				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) TOTALS
TOTAL CLAIMS	21 — 20	1	X \$18	\$ 18
INDEPENDENT CLAIMS	3 — 3	0	X \$84	\$ 0
ANY MULTIPLE DEPENDENT CLAIMS	0		\$280	\$ 0
BASIC FEE: Design ( \$330.00 ); Utility ( \$740.00 )				\$ 740
TOTAL FILING FEE				\$ 758
OTHER FEES				\$
TOTAL CHARGES TO DEPOSIT ACCOUNT				\$ 758

Charge \$ 758 to Deposit Account 50-1078. At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account 50-1078 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 50-1078 under 37 CFR 1.16, 1.17, 1.19, 1.20 and 1.21. A duplicate copy of this sheet is enclosed.

"Express Mail" label no. EL798305958US

Date of Deposit 2/27/02

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By

Typed Name: Joy L. Mowery

Respectfully submitted,

Carol J. Wilson, et al.

By

Steven R. Greenfield

Attorney/Agent for Applicant(s)

Reg. No. 38,166

Date: 2/27/02

Telephone No.: (214) 855-4789

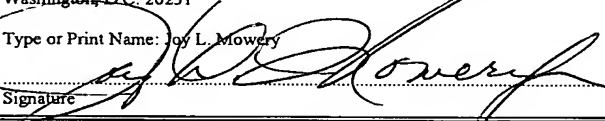
CERTIFICATE OF MAILING BY EXPRESS MAIL

"EXPRESS MAIL" Mailing Label No. EL 798305958US

Date of Deposit: \_\_\_\_\_ February 27, 2002

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service  
"Express Mail Post Office to Addressee" service on the date indicated above and is  
addressed to the Assistant Commissioner for Patents, Box Patent Application,  
Washington, D.C. 20231

Type or Print Name: Joy L. Mowery

Signature: 

Title: **CONTINUOUSLY TUNABLE PHOTONIC CRYSTAL DROP FILTER**

Inventors:    1.     Carol J. Wilson

                  2.     Mihail M. Sigalas

                  3.     Curt A. Flory

202220 06958007